

# United States of America

## United States Patent and Trademark Office

### BLOCKCHAIN INSTRUMENT FOR TRANSFERABLE EQUITY

**Reg. No. 6,769,902**

**Registered Jun. 21, 2022**

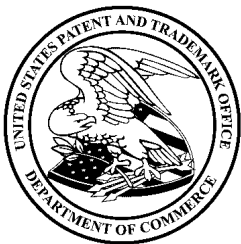
**Int. Cl.: 42**

**Service Mark**

**Supplemental Register**

Equity Shift, Inc. (DELAWARE CORPORATION)  
5532 Peakton Drive  
Raleigh, NORTH CAROLINA 27614

CLASS 42: Platform as a service (PaaS) featuring computer software platforms for creating, trading, exchanging, and purchasing financial securities; software as a service (SaaS) including software for blockchain-based trading of financial securities; providing online non-downloadable computer software, namely, an electronic financial platform for offering, trading, exchanging, and purchasing financial securities; platform as a service (PaaS) featuring computer software platforms for enforcing rules related to offering, trading, exchanging, and purchasing financial securities; providing software as a service (SaaS) services featuring software for managing securities for privately held companies through digitally-automated trading and compliance; providing software as a service (SaaS) services featuring software for trading multi-party tradable securities compliant with securities rules and company-defined rules for privately held companies; providing software as a service (SaaS) services featuring software for converting capitalization tables, securities definitions, company rules, and required disclosures to fully compliant peer-to-peer tradable equity securities; providing platform as a service (PaaS) featuring computer software platforms for tracking and controlling activities, information disclosures, shareholder communications, securities, and securities trading for a privately held company based upon by-laws and operating rules of the company; providing platform as a service (PaaS) featuring computer software platforms for monitoring, controlling, and trading shares of a privately held company based upon by-laws and operating rules of the company; providing platform as a service (PaaS) featuring computer software platforms for fractionalizing shares of a private company; providing on-line non-downloadable software for monitoring the status of securities for privately held companies; providing on-line non-downloadable software for initiating and settling controlled liquidity events for private securities; providing on-line non-downloadable software for accessing a secondary market for private equity through a blockchain-based virtual marketplace; providing on-line non-downloadable software for converting shares of stock in privately held companies into digital securities utilizing smart contracts; providing on-line non-downloadable software providing peer-to-peer trading services for blockchain-based investments in privately held companies; providing software as a service (SaaS) services featuring software for managing investments in privately held companies for investors and privately held companies; providing use of online non-downloadable web-based applications for managing investments in privately held companies for investors and privately held companies;



*Katherine Kelly Vidal*

Director of the United States  
Patent and Trademark Office



providing use of online non-downloadable web-based applications for managing blockchain-based equity securities; providing platform as a service (PaaS) services featuring computer software platforms that enable the trading of shares; providing platform as a service (PaaS) services featuring computer software platforms for private equity investment management using blockchain and smart contracts; providing platform as a service (PaaS) services featuring computer software platforms for tokenization of securities; providing platform as a service (PaaS) services featuring computer software platforms for maintaining immutable records of transactions of traded instruments

FIRST USE 2-27-2018; IN COMMERCE 2-27-2018

THE MARK CONSISTS OF STANDARD CHARACTERS WITHOUT CLAIM TO ANY PARTICULAR FONT STYLE, SIZE OR COLOR

SER. NO. 90-806,077, FILED P.R. 07-01-2021; AM. S.R. 05-12-2022

## **REQUIREMENTS TO MAINTAIN YOUR FEDERAL TRADEMARK REGISTRATION**

**WARNING: YOUR REGISTRATION WILL BE CANCELLED IF YOU DO NOT FILE THE DOCUMENTS BELOW DURING THE SPECIFIED TIME PERIODS.**

### **Requirements in the First Ten Years\***

#### **What and When to File:**

- **First Filing Deadline:** You must file a Declaration of Use (or Excusable Nonuse) between the 5th and 6th years after the registration date. See 15 U.S.C. §§1058, 1141k. If the declaration is accepted, the registration will continue in force for the remainder of the ten-year period, calculated from the registration date, unless cancelled by an order of the Commissioner for Trademarks or a federal court.
- **Second Filing Deadline:** You must file a Declaration of Use (or Excusable Nonuse) and an Application for Renewal between the 9th and 10th years after the registration date.\* See 15 U.S.C. §1059.

### **Requirements in Successive Ten-Year Periods\***

#### **What and When to File:**

- You must file a Declaration of Use (or Excusable Nonuse) and an Application for Renewal between every 9th and 10th-year period, calculated from the registration date.\*

### **Grace Period Filings\***

The above documents will be accepted as timely if filed within six months after the deadlines listed above with the payment of an additional fee.

**\*ATTENTION MADRID PROTOCOL REGISTRANTS:** The holder of an international registration with an extension of protection to the United States under the Madrid Protocol must timely file the Declarations of Use (or Excusable Nonuse) referenced above directly with the United States Patent and Trademark Office (USPTO). The time periods for filing are based on the U.S. registration date (not the international registration date). The deadlines and grace periods for the Declarations of Use (or Excusable Nonuse) are identical to those for nationally issued registrations. See 15 U.S.C. §§1058, 1141k. However, owners of international registrations do not file renewal applications at the USPTO. Instead, the holder must file a renewal of the underlying international registration at the International Bureau of the World Intellectual Property Organization, under Article 7 of the Madrid Protocol, before the expiration of each ten-year term of protection, calculated from the date of the international registration. See 15 U.S.C. §1141j. For more information and renewal forms for the international registration, see <http://www.wipo.int/madrid/en/>.

**NOTE:** Fees and requirements for maintaining registrations are subject to change. Please check the USPTO website for further information. With the exception of renewal applications for registered extensions of protection, you can file the registration maintenance documents referenced above online at <http://www.uspto.gov>.

**NOTE:** A courtesy e-mail reminder of USPTO maintenance filing deadlines will be sent to trademark owners/holders who authorize e-mail communication and maintain a current e-mail address with the USPTO. To ensure that e-mail is authorized and your address is current, please use the Trademark Electronic Application System (TEAS) Correspondence Address and Change of Owner Address Forms available at <http://www.uspto.gov>.